

FORM PTO-1390
(REV 11-2000)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. § 371

US APPLICATION NO (If known, see 37 CFR 1.53)

09/914473

INTERNATIONAL APPLICATION NO
PCT/GB00/00621INTERNATIONAL FILING DATE
February 22, 2000PRIORITY DATE CLAIMED
March 3, 1999TITLE OF INVENTION
CLOSURE SEALING WAD

APPLICANT(S) FOR DO/EO/US

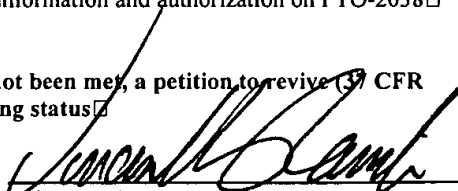
Andrew Ferry; Peter Douglas KERR and David SENIOR

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1 ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. § 371 ☐
- 2 ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. § 371 ☐
- 3 ☒ This is an express request to begin national examination procedures (35 U.S.C. § 371(f)) ☐ The submission must include items (5), (6), (9) and (21) indicated below ☐
- 4 ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31) ☐
- 5 ☒ A copy of the International Application as filed (35 U.S.C. § 371(c)(2))
- a ☐ is attached hereto (required only if not communicated by the International Bureau) ☐
- b ☒ has been communicated by the International Bureau ☐
- c ☐ is not required, as the application was filed in the United States Receiving Office (RO/US) ☐
- 6 ☒ An English language translation of the International Application as filed (35 U.S.C. § 371(c)(2)) ☐
- a ☐ is attached hereto ☐
- b ☒ has been previously submitted under 35 U.S.C. § 54(d)(4) ☐
- 7 ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3))
- a ☐ are attached hereto (required only if not communicated by the International Bureau) ☐
- b ☐ have been communicated by the International Bureau ☐
- c ☐ have not been made; however, the time limit for making such amendments has NOT expired ☐
- d ☐ have not been made and will not be made ☐
- 8 ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)) ☐
- 9 ☒ An oath or declaration of the inventor(s) (35 U.S.C. § 371(c)(4)) ☐
- 10 ☒ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)) ☐

Items 11 to 20 below concern document(s) or information included:

- 11 ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 ☐
- 12 ☒ An assignment document for recording ☐ A separate cover sheet in compliance with 37 CFR 3.128 and 3.131 is included ☐
- 13 ☒ A **FIRST** preliminary amendment ☐
- 14 ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment ☐
- 15 ☐ A substitute specification ☐
- 16 ☐ A change of power of attorney and/or address letter ☐
- 17 ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 U.S.C. § 1821 - 1825 ☐
- 18 ☐ A second copy of the published international application under 35 U.S.C. § 54(d)(4) ☐
- 19 ☐ A second copy of the English language translation of the international application under 35 U.S.C. § 54(d)(4) ☐
- 20 ☐ Other items or information:

| | | | | | |
|---|--------------|---|------------|------------------------------------|----|
| US APPLICATION NO. known, see 37 CFR 1.53 09/914473 | | INTERNATIONAL APPLICATION NO. pct/qb00/00621 | | ATTORNEY'S DOCKET NUMBER | |
| 21 <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO <input type="checkbox"/> \$1000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO <input type="checkbox"/> \$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO <input type="checkbox"/> \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) <input type="checkbox"/> \$690.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) <input type="checkbox"/> \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | CALCULATIONS PTO USE ONLY | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)) <input type="checkbox"/> | | | | \$ 1000.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | |
| Total claims | 10 - 20 = | 0 | x \$18.00 | \$ 0 | |
| Independent claims | 2 - 3 = | 0 | x \$80.00 | \$ 0 | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) "AMENDED" | | | + \$270.00 | \$ 0 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 1000.00 | |
| <input type="checkbox"/> Applicant claims small entity status <input type="checkbox"/> See 37 CFR 1.27 <input type="checkbox"/> The fees indicated above are reduced by 1/2 <input type="checkbox"/> | | | | \$ | |
| SUBTOTAL = | | | | \$ 1000.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)) <input type="checkbox"/> | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ 1000.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)) <input type="checkbox"/> The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) <input type="checkbox"/> \$40.00 per property <input type="checkbox"/> | | | | \$ 40.00 | |
| TOTAL FEES ENCLOSED = | | | | \$ 1040.00 | |
| | | | | Amount to be refunded: | \$ |
| | | | | charged: | \$ |
| a <input checked="" type="checkbox"/> A check in the amount of \$ <u>1040.00</u> to cover the above fees is enclosed <input type="checkbox"/> b <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees <input type="checkbox"/> A duplicate copy of this sheet is enclosed <input type="checkbox"/> c <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-1716</u> <input type="checkbox"/> A duplicate copy of this sheet is enclosed <input type="checkbox"/> d <input type="checkbox"/> Fees are to be charged to a credit card <input type="checkbox"/> WARNING: Information on this form may become public <input type="checkbox"/> Credit card information should not be included on this form <input type="checkbox"/> Provide credit card information and authorization on PTO-2038 <input type="checkbox"/> | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.337 (a) or (b)) must be filed and granted to restore the application to pending status <input type="checkbox"/> | | | | | |
| SEND ALL CORRESPONDENCE TO: DILLER, RAMIK & WIGHT Suite 101 7345 McWhorter Place Annandale, VA 22003 (703) 642-5705 - phone (703) 642-2117 - fax | | | | | |
| SIGNATURE  Vincent L. Ramik NAME | | | | Reg. 20,663 REGISTRATION NUMBER | |